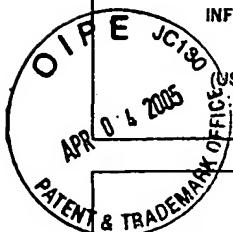


FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. BT-001	APPLICATION NO. 10/823,043
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Tan, B.	
		FILING DATE: April 12, 2004	GROUP Unknown



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
SBMB	1	6,239,171	May 29, 2001	Lane et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
SBMB	2	Sardi, B., Special Report: Part II Choosing Natural Agents for Cholkesterol Control, March 2003.
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EXAMINER	SBMcGinnick-Jaworski	DATE CONSIDERED	8-25-05
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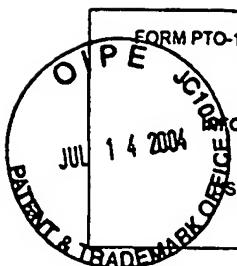
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O I P E INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(USE SEVERAL SHEETS IF NECESSARY)</i> JUL 14 2004		APPLICANT Tan, B.	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
SBME	1	2003139467	July 24, 2003	Igarashi			
SBME	2	6441029	August 27, 2002	Elson			
SBME	3	6358997	March 19, 2002	Clark			
SBME	4	6350453	February 26, 2002	Tan			
SBME	5	6083979	July 4, 2000	Sehti			
SBME	6	5756109	May 26, 1998	Burger			
SBME	7	5660691	August 26, 1997	Barnicki			
SBME	8	5602184	February 11, 1997	Myers			
SBME	9	5318993	June 7, 1994	Pearce			
SBME	10	5217992	June 8, 1993	Wright			
SBME	11	5157132	October 20, 1992	Tan			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
SBME	12	Anderson, S., J. Qiu, et al. (2003). "Tocotrienols induce IKBKAP expression: a possible therapy for familial dysautonomia." Biochem Biophys Res Commun. 306(1): 303-309.
SBME	13	Araki, Y., et al. (2003). "Human monocyte chemotaxis is induced by glycolaldehyde-derived pyridine (GA-pyridine), one of structures identified from AGE-modified proteins." Diabetes 52(Suppl. 1): A172 extended abstract. 738P.
SBME	14	Cahoon, E., S. Hall, et al. (2003). "Metabolic redesign of vitamin E biosynthesis in plants for tocotrienol production and increased antioxidant content." Nat Biotechnol. 21(9): 1082-1087.

EXAMINER	S.B. McCormick-Ewald	DATE CONSIDERED	8.25.05
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	FILING DATE: April 12, 2004	GROUP Unknown

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
SBME	15 Carr, A. and B. Frei (2000). "The Role Of Natural Antioxidants In Preserving The Biological Activity Of Endothelium-Derived Nitric Oxide." Free Rad. Biol. Med. 28(12): 1806-1814.
SBME	16 Chao, J. (2002). "Inhibitory Effect of d-Tocotrienol, a HMG CoA Reductase Inhibitor, on Monocyte-Endothelial Cell Adhesion." J. Nutr. Sci. Vitaminol. 48: 332-337.
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SBME	18 Colwell, J. (1997). "Aspirin therapy in diabetes." Diabetes Care 20: 1767-1771.
SBME	19 Colwell, J. (2004). "Aspirin therapy in diabetes." Diabetes Care 27(Supp. 1): S72-S73.
SBME	20 Deepa, R., S. Pillarisetti, et al. (2003). "Elevation of Serum VCAM-1, IL-6, MCP-1 and CRP in Insulin Resistant Prediabetic and Diabetic Asian South Indian Subjects." Diabetes 52(Suppl. 1): A153 extended abstract. 658P.
SBME	21 DeFronzo, R. A. (1998). "Pathogenesis of Type 2 Diabetes: Metabolic & Molecular Implications for Identifying Diabetes Genes." Ann. Rev. Diabetes: 1-93.
SBME	22 Dormann, P. (2003). "Corn with enhanced antioxidant potential." Nat Biotechnol. 21(9): 1015-1016.
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SBME	24 Fairus, S., et al. (2003). "Palm Tocotrienols: Tracing its Metabolism and Biokinetics." Proceedings of PIPOC Food Tech. Nutri.: 236-246.
SBME	25 Farrell, P. and J. Bieri (1975). "Megavitamin E Supplementation in Man." Am. J. Clin. Nutr. 28: 1381-1386.
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SBME	27 Gu, J., et. al (1997). "Combined Effects of Sesamin with Alpha T1 or T3s on Lipid and Immune Indices in Brown-Norway Rats." Nutr. Res. 17: 339-350.
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SBME	29 Guthrie, N., A. Gapor, et al. (1997). "Inhibition of Proliferation of Estrogen Receptor-negative MDA-MB-435 and-positive MCF-7 Human Breast Cancer Cells by Palm Oil Tocotrienols and Tamoxifen, Alone and in Combination." J. Nutr. 127(3): 544S-548S.
SBME	30 Hayes, K., A. Pronczuk, et al. (1993). "Differences in the plasma transport and tissue concentrations of tocopherols and tocotrienols: observations in humans and hamsters." Proc Soc Exp Biol Med. 202(3): 353-359.

EXAMINER	S.B. McCormick-Fawcett	DATE CONSIDERED	8-27-05
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) <small>O I P E JUL 14 2004 P A T E N T & T R A D E M A R K O F F I C E S C I E N C E & T E C H N O L O G Y</small>	ATTY. DOCKET NO. BT-001	APPLICATION NO. 10/823,043
	APPLICANT Tan, B.	
	FILING DATE: April 12, 2004	GROUP Unknown

EXAMINER	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
S BME	31	Ikeda, S., T. Tohyama, et al. (2003). "Dietary alpha-tocopherol decreases alpha-tocotrienol but not gamma-tocotrienol concentration in rats." <i>J. Nutr.</i> 133(2): 428-434.
S BME	32	Ima-Nirwana, S., et. al. (2000). "Palm vitamin E prevents osteoporosis in orchidectomized growing male rats." <i>Nat. Prod. Sci.</i> 6: 155-160.
S BME	33	Jaleel, A., et al. (2003). "Identificaiton of amadori modified proteins by western blot and mass spectrometry in plasma of type-2 diabetes patients." <i>Diabetes</i> 52(Suppl. 1): A157 extended abstract. 675P.
S BME	34	Jenkins, A. J. and T. J. Lyons (2000). "Preventing Vascular Disease in Diabetes." <i>Practical Diabetology</i> 19: 19-34.
S BME	35	Jiang, Q., S. Christen, et al. (2001). "Gamma-Tocopherol, the Major Form of Vitamin E in the US Diet, Deserves More Attention." <i>Am. J. Clin. Nutr.</i> 74: 714-722.
S BME	36	Kaku, S., S. Yunoki, et al. (1999). "Effect of dietary antioxidants on serum lipid contents and immunoglobulin productivity of lymphocytes in Sprague-Dawley rats." <i>Biosci Biotechnol Biochem.</i> 63(3): 575-576.
S BME	37	Kamat, J., et al. (1997). "Tocotrienols from Palm Oil as Effective Inhibitors of Protein Oxidation and Lipid Peroxidation in Rat Liver Microsomes." <i>Molecular and Cellular Biochemistry</i> 170: 131-138.
S BME	38	Kamat, J. and T. Devasagayam (1995). "Tocotrienols from palm oil as potent inhibitors of lipid peroxidation and protein oxidation in rat brain mitochondria." <i>Neurosci Lett.</i> 195(3): 179-182.
S BME	39	Khor, H. and T. Ng (2000). "Effects of Administration of a-Tocopherol and Tocotrienols on Serum lipids and Liver HMG CoA Reductase Activity." <i>Int. J. of Food Sci. and Nutr.</i> 51: S3-S11.
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S BME	41	Kraegen, E. (1998). "Physiologic manifestations of PPAR-gamma activation: preclinical studies." <i>Clinical Courier</i> 16(48): 5-7.
S BME	42	Lehmann, J. (1981). "Comparative Sensitivities of Tocopherol Levels of Platelets, Red Blood Cells, and Plasma for Estimating Vitamin E Nutritional Status in the Rat." <i>Am J. Clin. Nutr. Res.</i> 34: 2104-2110.
S BME	43	Liao, J. K. (1998). "Endothelium and Acute Coronary Syndromes." <i>Clin Chem.</i> 44: 1799-1808.
S BME	44	Liu, M., R. Wallin, et al. (2002). "Mixed Tocopherols Have a Stronger Inhibitory Effect on Lipid Peroxidation Than a-Tocopherol Alone." <i>J. Cardiovasc. Pharmacol.</i> 39(5): 714-721.
S BME	45	McIntyre, B., K. Briski, et al. (2000). "Antiproliferative and Apoptotic Effects of Tocopherols and Tocotrienols on Preneoplastic and Neoplastic Mouse Mammary Epithelial Cells." <i>P.S.E.B.M</i> 224: 292-301.

EXAMINER	S. B. McCormick - Ewaldt	DATE CONSIDERED	8-27-05
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) JUN 14 2004 PATENT & TRADEMARK OFFICE O P E S C I SBME	ATTY. DOCKET NO. BT-001	APPLICATION NO. 10/823,043
	APPLICANT Tan, B.	
	FILING DATE: April 12, 2004	GROUP Unknown

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SBME	46 McLaughlin, T., et al. (2003). "Prediction of IR with plasma TG or TG/HDL ratio." Diabetes 52(Suppl. 1): A224 extended abstract. 962P.
SBME	47 Meigs, J., F. Hu, et al. (2003). "Endothelial Dysfunction Predicts Development of Type 2 Diabetes." Diabetes 52(Suppl. 1): A58 extended abstract. 249-OR.
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SBME	49 Mezey, E., A. Parmalee, et al. (2003). "Of splice and men: what does the distribution of IKAP mRNA in the rat tell us about the pathogenesis of familial dysautonomia?" Brain Res. 983(1-2): 209-214.
SBME	50 Mustad, V., C. Smith, et al. (2002). "Supplementation With 3 Compositionally Different Tocotrienol Supplements Does Not Improve Cardiovascular Disease Risk Factors in Men and Women With Hypercholesterolemia." Am. J. Clin. Nutr. 76(6): 1237-1243.
SBME	51 Nazaimoon, W. and B. Khalid (2002). "Tocotrienol-rich diet decreases AGE in non-diabetic rats and improves glycemic control in streptozotocin-induced diabetic rats." Malay. J. Pathol. 24: 77-82.
SBME	52 Newaz, M. and N. Nawal (1999). "Effect of gamma-tocotrienol on blood pressure, lipid peroxidation and total antioxidant status in spontaneously hypertensive rats." Clin. Exper. Hypertension 21: 11297-11313.
SBME	53 Newaz, M., Z. Yousefipour, et al. (2003). "Nitric oxide synthase activity in blood vessels of spontaneously hypertensive rats: antioxidant protection by gamma-tocotrienol." J Physiol Pharmacol. 54(3): 319-327.
SBME	54 Norazlina, M., et al. (2002). "Tocotrienols are Needed for Normal Bone Calcification of Growing Female Rats." Asia Pacific J. Clin. Nutr.: 194-199.
SBME	55 Packer, L., S. Weber, et al. (2001). "Molecular aspects of alpha-tocotrienol antioxidant action and cell signalling." J. Nutr. 131(2): 369S-73S.
SBME	56 Pearce, B., R. Parker, et al. (1992). "Hypocholesterolemic activity of synthetic and natural tocotrienols." J Med Chem. 35(20): 3595-3606.
SBME	57 Prescott, S. M., T. M. McIntyre, et al. (2001). "Events at the Vascular Wall: The Molecular Basis of Inflammation." J. Invest. Med. 49: 104-111.
SBME	58 Qureshi, A., et al. (2001). "Novel Tocotrienols of Rice Bran Inhibit Atherosclerotic Lesions in C57BL/6 ApoE-deficient Mice." J. Nutr. 131: 1-13.
SBME	59 Qureshi, A., et al. (2002). "Effects of Stabilized Rice Bran, Its soluble and Fiber Fractions on Blood Glucose Levels and Serum Lipid Parameters in Humans with Diabetes Mellitus Type I and II." J. Nutritional Biochemistry 13: 175-187.

EXAMINER	S. B. McGinnick-Evoldt	DATE CONSIDERED	8-27-05
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	APPLICANT Tan, B.	
	FILING DATE: April 12, 2004	GROUP Unknown

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SBME	60	Qureshi, A., E. Bradlow, et al. (1997). "Novel Tocotrienols of Rice Bran Modulate Cardiovascular Disease Risk Parameters of Hypercholesterolemic Humans." <i>J. Nutritional Biochemistry</i> 8: 290-298.
SBME	61	Qureshi, A., B. Pearce, et al. (1996). "Dietary a-Tocopherol Attenuates the Impact of g-Tocotrienol on Hepatic 3-Hydroxy-3-Methylglutaryl Coenzyme A Reductase Activity in Chickens." <i>J. Nutr.</i> 126: 389-394.
SBME	62	Qureshi, A. and D. Peterson (2001). "The combined Effects of Novel Tocotrienols and Lovastatin on Lipid Metabolism in Chickens." <i>Atherosclerosis</i> 156: 39-47.
SBME	63	Qureshi, A., B. Bradlow, et al. (1995). "Response of Hypercholesterolemic Subjects To Administration of Tocotrienols." <i>Lipids</i> 30: 1171-1177.
SBME	64	Rekeneire, N., R. Peila, et al. (2003). "Inflammation, Insulin, Glucose In Non Diabetic Older Persons. (Epidemiology)." <i>Diabetes</i> 52(Suppl. 1): A218 extended abstract. 937P.
SBME	65	Ridker, P., J. Buring, et al. (2003). "C-Reactive Protein, the Metabolic Syndrome, and Risk of Incident Cardiovascular Events: An 8-Year Follow-Up of 14,719 Initially Healthy American Women." <i>Circulation</i> 107(3): 391-397.
SBME	66	Robbesyn, F., V. Garcia, et al. (2003). "HDL Counterbalance the Proinflammatory Effect of Oxidized LDL By Inhibiting Intracellular Reactive Oxygen Species Rise, Proteasome Activation, and Subsequent Nf-Kappab Activation in Smooth Muscle Cells." <i>FASEB J.</i> 17(6): 743-745.
SBME	67	Saldeen, T., D. Li, et al. (1999). "Differential effects of alpha- and gamma-tocopherol on low-density lipoprotein oxidation, superoxide activity, platelet aggregation and arterial thrombogenesis." <i>J Am Coll Cardiol.</i> 34(4): 1208-1215.
SBME	68	Schalkwijk, C., et al. (2003). "Increased accumulation of the glyoxidation product N (carboxymethyl) lysine in hearts of diabetic patients." <i>Diabetes</i> 52(Suppl. 1): A165 extended abstract. 709P.
SBME	69	Sen, C., et al. (2000). "Tocotrienol Potently Inhibits Glutamate-induced pp60c-Src Kinase Activation and Death of HT4 Neuronal Cells." <i>J. Biological Chemistry</i> 275: 13049-13055.
SBME	70	Serbinova, E., V. Kagan, et al. (1991). "Free Radical Recycling and Intramembrane Mobility in the Antioxidant Properties of Alpha-Tocopherol and Alpha-Tocotrienol." <i>Free Rad. Biol. Med.</i> 10: 263-275.
SBME	71	Sheppard, A. J., J. Pennington, et al. (1993). Analysis and Distribution of Vitamin E in Vegetable Oils and Foods. <i>Vitamin E in Health and Disease.</i> L. Packer and J. Fuchs, Marcel Dekker, Inc.: 9-31.
SBME	72	Shi, H., N. Noguchi, et al. (1999). "Formation of phospholipid hydroperoxides and its inhibition by alpha-tocopherol in rat brain synaptosomes induced by peroxynitrite." <i>Biochem Biophys Res Commun.</i> 257(3): 651-656.

EXAMINER S.B.McConnell-Swollett	DATE CONSIDERED 8-27-05
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SBME	73	Smith, S. (1998). "The molecular pharmacology of PPAR-gamma." Clinical Courier 16(48): 3-4.
SBME	74	Sylvester, P. and A. Theriault (2003). "Role of Tocotrienols in the Prevention of Cardiovascular Disease and Breast Cancer." Current Topics in Nutraceutical Research 1(2): 121-136.
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SBME	82	Wallace, A., D. Chinn, et al. (2003). "Taking simvastatin in the morning compared with in the evening: randomised controlled trial." BMJ 327(7418): 788.
SBME	83	Watkins, T., M. Geller, et al. (1999). "Hypocholesterolemic and antioxidant effect of rice bran oil non-saponifiables in hypercholesterolemic subjects." Environmental & Nutritional Interactions 3: 115-122.
SBME	84	Watkins, T., M. Bierenbaum, et al. (1999). Tocotrienols: biological and health benefits. Antioxidant Status, Diet, Nutrition, and Health. A. M. Papas, CRC Press: 479-496.
SBME	85	Weber, C., et al. (1997). "Efficacy of Topically Applied Tocopherols and Tocotrienols in Protection of Murine Skin from Oxidative Damage Induced by UV-Irradiation." Free Radical Biology and Medicine. 22: 761-769.
SBME	86	Yap, S., K. Yuen, et al. (2001). "Pharmacokinetics and bioavailability of alpha-, gamma- and delta-tocotrienols under different food status." J Pharm. Pharmacol. 53(1): 67-71.
SBME	87	Yoshida, Y., et al. (2003). "Comparative Study on the Action of Tocopherols and Tocotrienols as Antioxidant:Chemical and Physical Effects." Chemistry and Physics of Lipids 123: 63-75.
SBME	88	Yu, W., M. Simmons-Menckha, et al. (1999). "Induction of Apoptosis in Human Breast Cancer Cells by Tocopherols and Tocotrienols." Nutrition and Cancer 33: 26-32.

EXAMINER S.B.McCormick-Ewaldt	DATE CONSIDERED 8-27-05
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